



**SECTION 2. FORMS PTO/SB/08A and 08B (formerly Form PTO-1449)**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Percival Attorney Docket: 1348/111  
 Serial No: 10/709,040 Art Group Unit: 2188  
 Date Filed: April 8, 2004 Examiner Name: Namazi, M.  
 Invention: A Method and System for Coherently Caching I/O Devices Across a Network

**LIST OF PATENTS AND PUBLICATIONS FOR  
 APPLICANT'S INFORMATION DISCLOSURE STATEMENT**

U.S. PATENT DOCUMENTS					
Examiner Initials	Reference Number	Document Number	Issue Date	Inventor	Class/Subclass
M. N.	CZ	5,276,835	1/04/1994	Mohan et al.	395/425
M. N.	DA	5,287,473	02/15/1994	Mohan et al.	395/425
M. N.	DB	5,297,269	03/22/1994	Donaldson et al.	395/425
M. N.	DC	5,303,362	04/12/1994	Butts, Jr. et al.	395/425
M. N.	DD	5,327,556	07/05/1994	Mohan et al.	365/600
M. N.	DE	5,499,367	3/12/1996	Bamford et. al.	365/600
M. N.	DF	5,787,300	07/28/1998	Wijaya	395/800

OTHER DOCUMENTS			
Examiner Initials	Reference Number	Author	Title of Article, Title of Journal, Volume Number, Page Numbers, Date
M. N.	DG	Steven et al.	"The KSRI: Bridging the Gap Between Shared Memory and MPP's", IEEE©1993, pp. 285-294.
M. N.	DH	Satyanarayanan, M.	"Scalable, Secure, and Highly Available Distributed File System", IEEE©1990, pp.9-21.
M. N.	DI	Howard et al.	"Scale and Performance in a Distributed File System", ACM Transactions on Computer Systems, Vol. 6 No. 1, February 1988, pp. 51-81.
M. N.	DJ	Satyanarayanan, M	"The Influence of Scale on Distributed File System Design", IEEE, Vol. 18, No.1 © January 1992, pp. 1-8.
M. N.	DK	Li et al.	"Memory Coherence in Shared Virtual Memory Systems", ACM ©1986, pp. 229-239.

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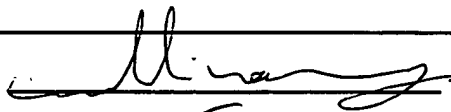
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M.N.	DK	Li et al.	"Memory Coherence in Shared Virtual Memory Systems", ACM ©1986, pp. 229-239.

M. N.	DL	Mohan et al.	<i>"Recovery and Coherency-Control Protocols for Fast Intersystem Page Transfer and Fine-Granularity Locking in a Shared Disks Transaction Environment"</i> , IBM Research Report, March 15, 1991, pp. 1-31.
	DM	Mohan et al.	<i>"Efficient Locking and Caching of Data in the Multisystem Shared Disks Transaction Environment"</i> , IBM Research Report, August 21, 1991, pp. 1-15.
	DN	Mohan, et al.	<i>"Solutions to Hot Spot Problems in a Shared Disks Transaction Environment"</i> IBM Research Report, August 5, 1991, pp. 453-468.
	DO	Pirotte et al.	<i>"Advances in Database Technology-EDBT '92"</i> , 3 <sup>rd</sup> International Conference on Extending Database Technology, Vienna, Austria, March 23-27, 1992.
	DP	Atkinson et al.	<i>"Persistant Object Systems"</i> , Tarascon, 1994, pp. 217-234.
	DQ	Pink et al.	<i>"Low Latency File Access in a High Bandwidth Environment"</i> , pp. 117-122.
	DR	Morris et al.	<i>"Andrew: A Distributed Personal Computing Environment"</i> , Communications of the ACM, Vol. 29, No. 3, March 1986, pp. 184-201.
	DS	Levy et al.	<i>"Distributed File Systems: Concepts and Examples"</i> , ACM Computing Surveys, Vol. 22, No. 4, December 1990, pp. 321-374.
	DT	Satyanarayanan, M	<i>"On the Influence of Scale in a Distributed System"</i> , IEEE, © 1988, pp. 10-18.
	DU	Leach et al.	<i>"The File System of an Integrated Local Network"</i> , ACM, ©1985 pp. 309-324.
	DV	Nelson et al.	<i>"Caching in the Sprite Network File System"</i> ACM Transactions on Computer Systems, Vol. 6, No. 1, February 1988, pp. 134-154.
	DW	Dahlin et al.	<i>"A Quantitative Analysis of Cache Policies for Scalable Network File Systems"</i> , Computer Science Division, University of California at Berkeley, 10 pp.
	DX	Feeley et al.	<i>"Implementing Global Memory Management in a Workstation Cluster"</i> , Department of Computer Science and Engineering, University of Washington and DEC Systems Research Center, 11 pp.
	DY	Raina	<i>"Virtual Shared Memory: A Survey of Techniques and Systems"</i> , Department of Computer Science, University of Bristol, United Kingdom, pp. 1-31.
	DZ	Keleher et al.	<i>"TreadMarks: Distributed Shared Memory on Standard Workstations and Operating Systems"</i> , Department of Computer Science, Rice University, 16 pp.
M. N.	EA	Molesky et al.	<i>"Efficient Locking for Shared Memory Database Systems"</i> , Department of Computer Science, University of Massachusetts, March 1994, 28 pp.

M.N.	EB	Kredel et al.	"Oracle on the KSRI Parallel Computer", Computing Center, University of Mannheim, August 1994, pp. 1-8.
	EC	Tseng et al.	"Parallel Database Processing on the KSRI Computer", ACM © 1993, pp. 453-455.
	ED	Larus, J.	"Compiling for Shared-Memory and Message-Passing Computer", Computer Sciences Department, University of Wisconsin, November 12, 1993, pp. 1-14.
	EE	Carter, B.	"Efficient Distributed Shared Memory Based on Multi-Protocol Release Consistency", Thesis Paper, Rice University, September 1993, 128 pp.
	EF	Kent, C.	"Cache Coherence in Distributed Systems", Digital Western Research Laboratory", © 1986,1987, pp. 90.
M.N.	EG	Johnson	"Squeezing Top Performance from an AXP Server", Johnson, DEC Professional, Vol. 12, Issue 4, April, 1993.

Examiner Signature:



Date Considered:

1/9/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation *if not* in conformance and not considered. Include copy of this form with next communication to applicant.